



First hEAT Lab Seminar

Vehicle Trajectory Planning Considering Traffic Signals
on Urban Roads

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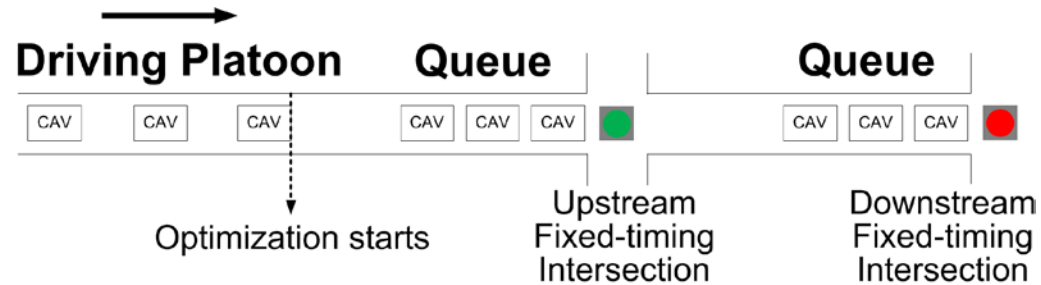
- I. Platoon trajectory planning under fixed traffic signal
- II. Platoon trajectory planning under actuated traffic signal
- III. Platoon trajectory planning under adaptive traffic signal (ongoing)

I. Platoon trajectory planning under **fixed** traffic signal

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)



Motivation

- 1) Scalable to multiple intersections with queues;
- 2) Capture queue discharging, platoon splitting and merging performances;
- 3) Multi-criteria in the objective function, jointly optimizing fuel efficiency and travel delay of the whole platoon.

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Control objectives

- 1) driving comfort
- 2) throughput in green phase
- 3) travel delay of passing vehicles (speeds)
- 4) fuel consumption of vehicles that stop



Controller Constraints

- 1) Admissible acceleration: $a_{\min} \leq a_i(t) \leq a_{\max}$
- 2) Limited speed: $0 \leq v_i(t) \leq v_{\max}$
- 3) No-collision requirements: $x_i(t) - x_{i+1}(t) \geq v_{i+1}(t)t_{\min} + x_0 + l$
- 4) Red phase position constraint:

$$x_{q_j}(t = g_j) \geq L_j$$

$$x_i(g_j \leq t \leq g_j + r_j) \leq L_j \quad i \in (Q_{j-1}, Q_j)$$

$$\min_{\mathbf{u}} J = \min_{\mathbf{u}} \int_0^T \left(\beta_1 \sum_{i=1}^N a_i^2(t) - \beta_2 q - \beta_3 \sum_{i=1}^{Q_2+q} v_i(t) + \beta_4 \sum_{i=Q_2+q+1}^N f(v_i(t), a_i(t)) \right) dt$$

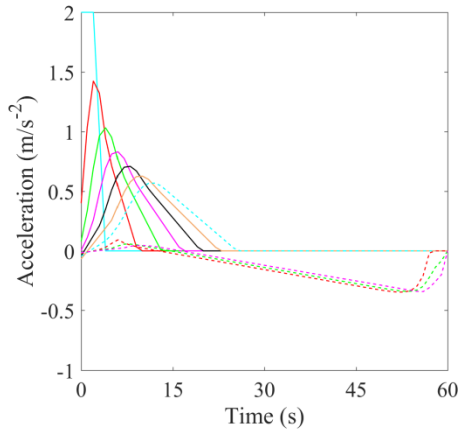
\mathbf{u} -- control input variable;
 $a_i(t)$ -- accelerations at time step t ;
 i -- vehicle sequence number;
 q -- throughput in green phase;
 N -- the number of controlled vehicles;
 $i=1$ to $i=Q_2$ -- queues on downstream intersections;
 $i=Q_2+1$ to $i=Q_2+q$ -- q passing vehicles at the most upstream intersection.

I. Fixed-timing

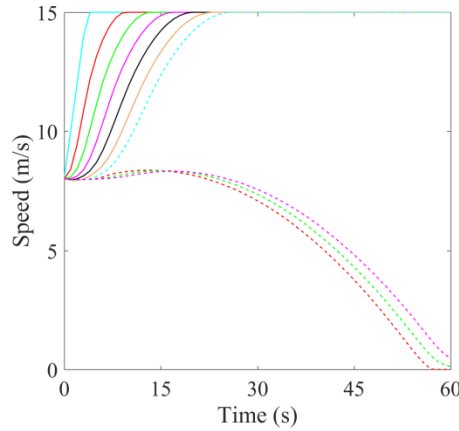
II. Actuated signal

III. Adaptive (ongoing)

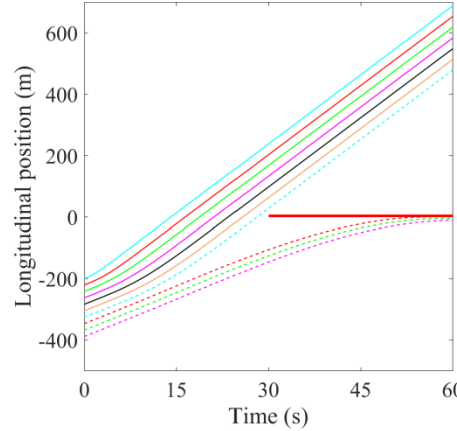
Simulation results: Scenario 1



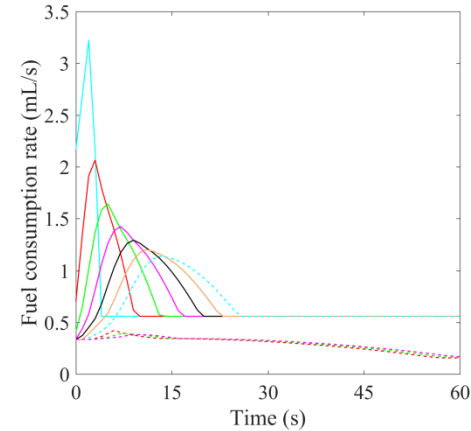
(a) Acceleration



(b) Speed



(c) Position



(d) Fuel consumption

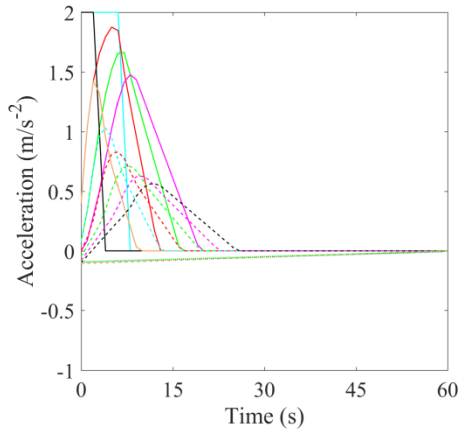
	Scenario design	Settings	Experiment objectives
Scenario 1	An isolated intersection without downstream queue	10 vehicles	To test the validity of position constraint during red phase and the flexibility of the control framework under multiple objectives, and to tune the cost weights under eco-driving objective function

I. Fixed-timing

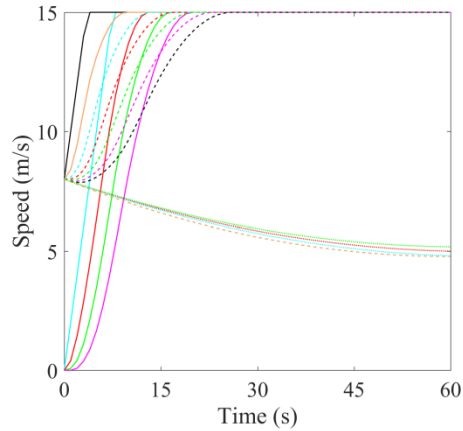
II. Actuated signal

III. Adaptive (ongoing)

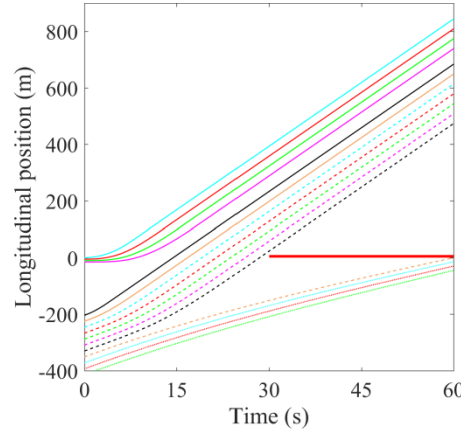
Simulation results: Scenario 2



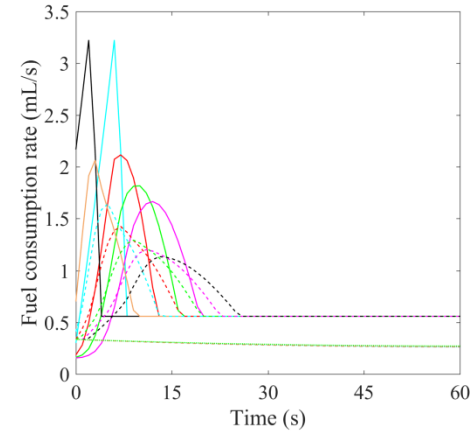
(a) Acceleration



(b) Speed



(c) Position



(d) Fuel consumption

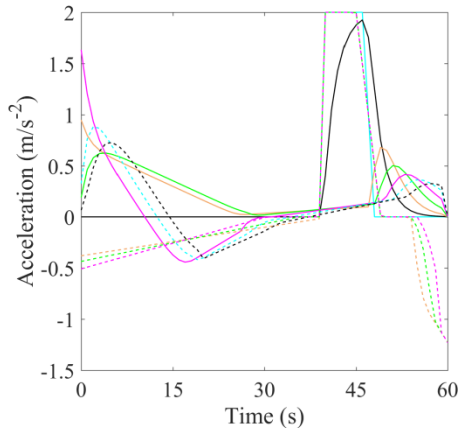
	Scenario design	Settings	Experiment objectives
Scenario 2	An isolated intersection with downstream queue	15 vehicles; 4 queueing vehicles	To evaluate the effectiveness of downstream queue constraints and the scalability of control system

I. Fixed-timing

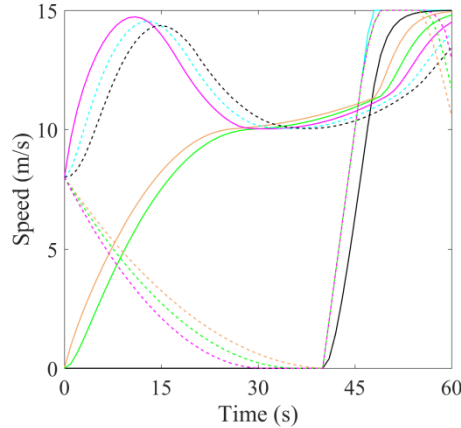
II. Actuated signal

III. Adaptive (ongoing)

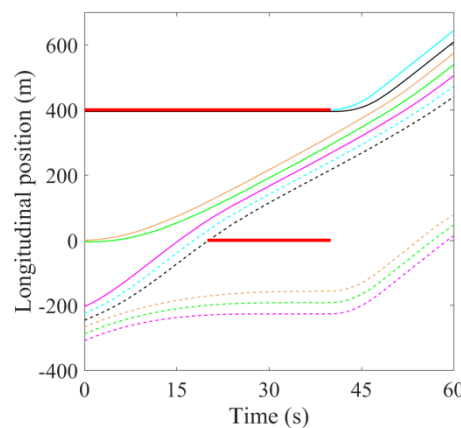
Simulation results: Scenario 3



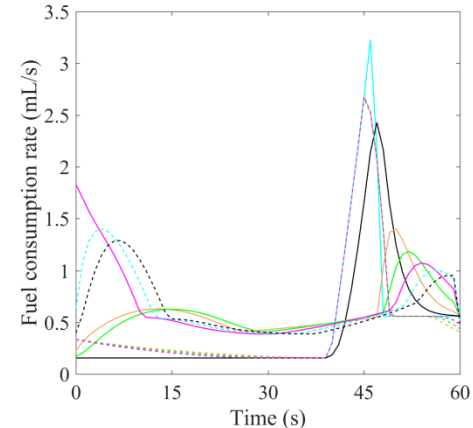
(a) Acceleration



(b) Speed



(c) Position



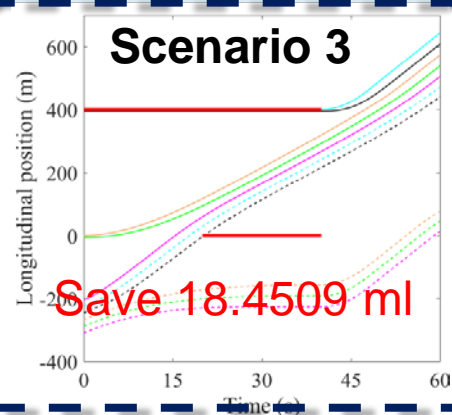
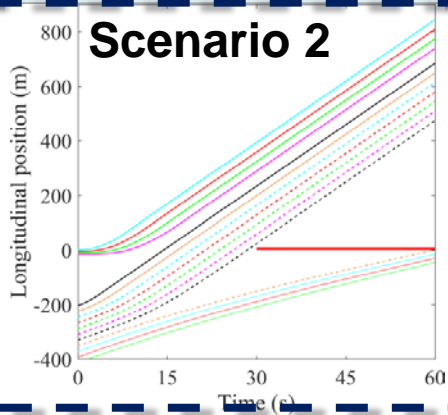
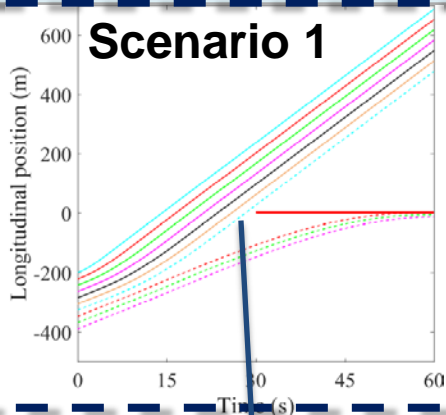
(d) Fuel consumption

I. Fixed-timing

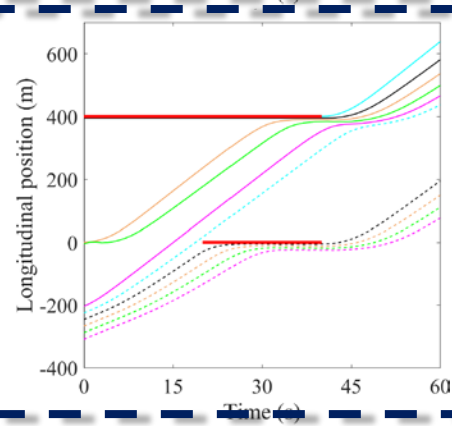
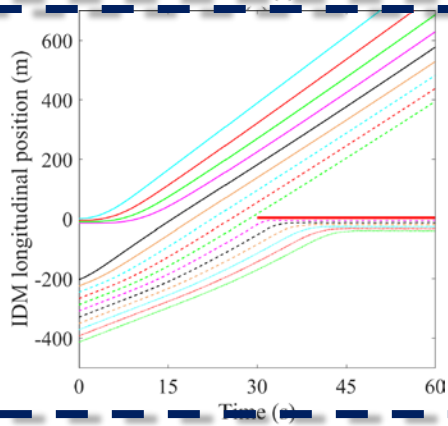
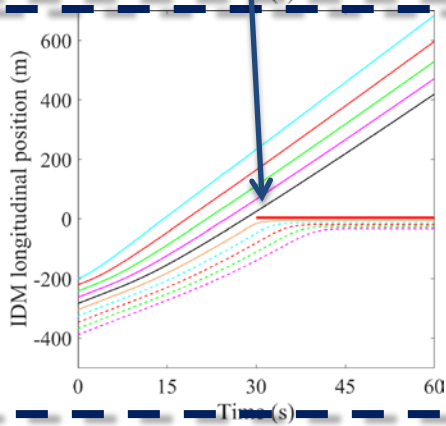
II. Actuated signal

III. Adaptive (ongoing)

Optimal position



IDM position

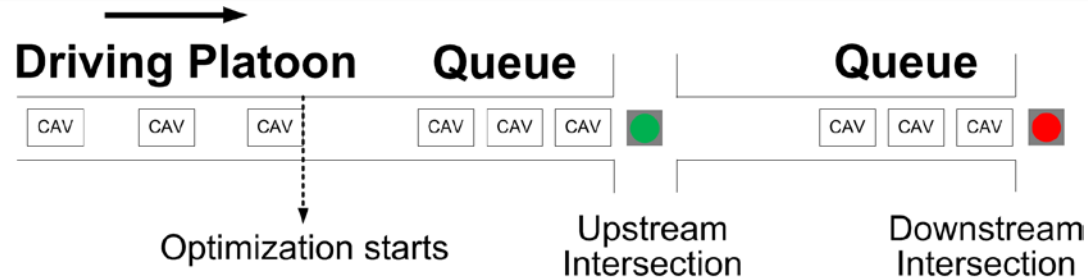


II. Platoon trajectory planning under **actuated** traffic signal

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)



- **Red phase:** Position constraint → penalty term
- **Control approach:** Feedforward (open loop) → feedback (closed loop)
- **Signal control approach:** fixed timing → (semi-) actuated signal

Control objectives

- Optimizing multi-criteria of the whole platoon (e.g. driving comfort, travel delay, throughput);
- Guarantee no-collision and safe driving requirements;
- Stop/decelerate before the stop-line during the red phase;
- Computational load.

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Controller formulization

- **Control variable:** acceleration u
- **State variables:** longitudinal position x , speed v
- **System dynamics model:** $\frac{d}{dt} \mathbf{x} = \frac{d}{dt} \begin{pmatrix} x(t) \\ v(t) \end{pmatrix} = f(\mathbf{x}, \mathbf{u})$
- **Initial condition:** $\mathbf{x}(0) = \mathbf{x}_0$
- **Constraints:** $\mathbf{x}(t) \in X, \mathbf{u}(t) \in U, t \in [0, t_f]$
- **Cost function:** $\min_{\mathbf{u}, q} J(\mathbf{x}, \mathbf{u}, t, q) = \min_{\mathbf{u}, q} \int_0^{t_f} L(\mathbf{x}, \mathbf{u}, t, q) + G(\mathbf{x}(t_f)) dt$

i -- vehicle sequence number

q -- the maximal throughput

L -- running cost

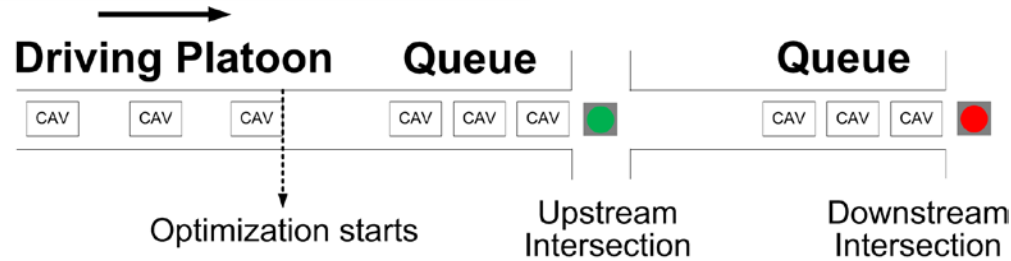
G -- terminal cost

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Running cost specification



- 1) Driving comfort term: $u_i^2(t)$
- 2) Travel delay term: $-v_i(t)$
- 3) Safe following term: $(v_{i-1}(t) - v_i(t))^2 / (x_{i-1}(t) - x_i(t) - l)$
- 4) Desired time gap term: $(x_{i-1}(t) - x_i(t) - v_i(t)t_{\min} - s_0 - l)^2$
- 5) Fuel consumption term: $f_{eco} = b_0 + b_1 v_i(t) + b_2 v_i^2(t) + b_3 v_i^3(t) + b_4 v_i^4(t) + b_5 v_i^5(t) + b_6 v_i^6(t)$
 s_0 -- the minimum safe car following time gap, l -- the minimum space gap at standstill conditions
- 6) Virtual vehicle term: $\frac{(v_{\text{virtual}}(t) - v_i(t))^2}{x_{\text{virtual}}(t) - x_i(t)}$ and $v_{\text{virtual}}(t) = 0, x_{\text{virtual}}(t) = 0$
the length of controlled vehicle

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Running cost specification

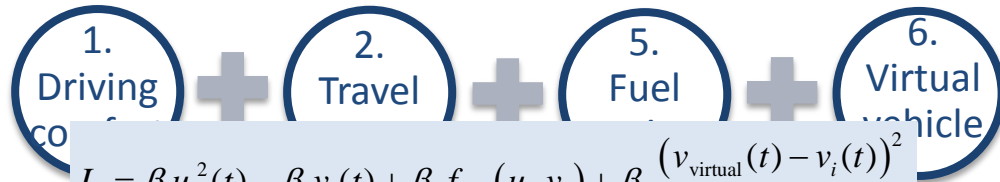
$$L(\mathbf{x}, \mathbf{u}, t, q_j) = \sum_{i=1}^N L_i(\mathbf{x}, \mathbf{u}, t, q_j)$$

Leading mode: Leader within the signal cycle $i = 1, t \in [0, t_f]$

First-stopping mode: First-stopping vehicle during the red phase $i = q + 1, t \in [g, t_f]$



$$L_i = \beta_1 u_i^2(t) - \beta_2 v_i(t) + \beta_5 f_{\text{eco}}(u_i, v_i)$$



$$L_i = \beta_1 u_i^2(t) - \beta_2 v_i(t) + \beta_5 f_{\text{eco}}(u_i, v_i) + \beta_6 \frac{(v_{\text{virtual}}(t) - v_i(t))^2}{x_{\text{virtual}}(t) - x_i(t)}$$

Following mode: Followers within the signal cycle; First-stopping vehicle during the green phase **Others**



$$L_i = \beta_1 u_i^2(t) - \beta_2 v_i(t) + \beta_3 \frac{(v_{i-1}(t) - v_i(t))^2}{x_{i-1}(t) - x_i(t) - l} + \beta_4 (x_{i-1}(t) - x_i(t) - v_i(t)t_{\min} - s_0 - l)^2 + \beta_5 f_{\text{eco}}(u_i, v_i)$$

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Derivation of the optimal control input

- The control problem is solved based on Pontryagin's principle.
- Define Hamiltonian and introduce co-state λ :

$$H_i(\mathbf{x}, \mathbf{u}, \boldsymbol{\lambda}, t, q_j) = L_i(\mathbf{x}, \mathbf{u}, t, q_j) + \boldsymbol{\lambda} \mathbf{f}_i(\mathbf{x}, \mathbf{u}, t)$$

$$\begin{aligned} &= \beta_1 u_i^2 - \beta_2 v_i + \beta_3 \frac{(v_{i-1} - v_i)^2}{x_{i-1} - x_i - l_i} + \beta_4 (x_{i-1} - x_i - v_i t_{\min} - s_0 - l_i)^2 + \beta_5 f_{\text{eco}}(u_i, v_i) + \beta_6 \frac{(v_{\text{virtual}}^j - v_{q_j+1})^2}{x_{\text{virtual}}^j - x_{q_j+1}} \\ &+ \lambda_1^i v_i + \lambda_2^i u_i \end{aligned}$$

- Thus, the optimal control law is: $u_i^* = \begin{cases} -\frac{\lambda_2^i}{2\beta_1} & \lambda_2^i + \beta_5(c_0 + c_1 v_i + c_2 v_i^2) \geq 0 \\ -\frac{\lambda_2^i + \beta_5(c_0 + c_1 v_i + c_2 v_i^2)}{2\beta_1} & \lambda_2^i + \beta_5(c_0 + c_1 v_i + c_2 v_i^2) < 0 \end{cases}$

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Controller Constraints

- Admissible acceleration is bounded:

$$a_{\min} \leq u_i(t) \leq a_{\max}$$

- Speed should be lower than the limit speed but nonnegative:

$$0 \leq v_i(t) \leq v_{\max}$$

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Solution approach

- Discretization
- iPMP algorithm
- MPC framework
- Constrain control variables
- Computational time

Model predictive control closed-loop

- **Anticipate signals:** update cost weights ahead of the beginning of the red phase
- **Implement actuated signal:** adjust the signal settings and update cost weights

Optimal control open-loop

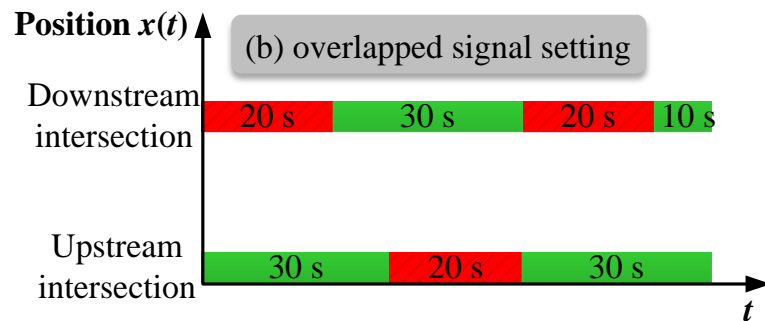
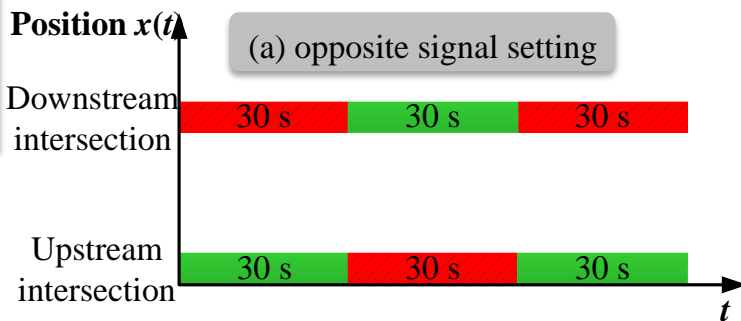
- Solve the state (co-state) dynamic equation forward (backward) in time
- Update the co-state
- Implement the solution of the first time step from optimal control
- Update the system state
- Finish the simulation horizon

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Scenario design



	Signal setting	Anticipation time of the red phase	Objectives
Baseline scenario	Opposite (pre-timed)	No	Test the validity of the red phase (virtual vehicle) term
Scenario 1	Opposite (pre-timed)	10 s	Compare and explore the benefits of anticipation
Scenario 2	Overlapped (pre-timed)	10 s	Prove the workings of the adjustment in signal settings
Scenario 3	Actuated	10 s	Investigate the workings under the actuated signal plan

I. Fixed-timing

II. Actuated signal

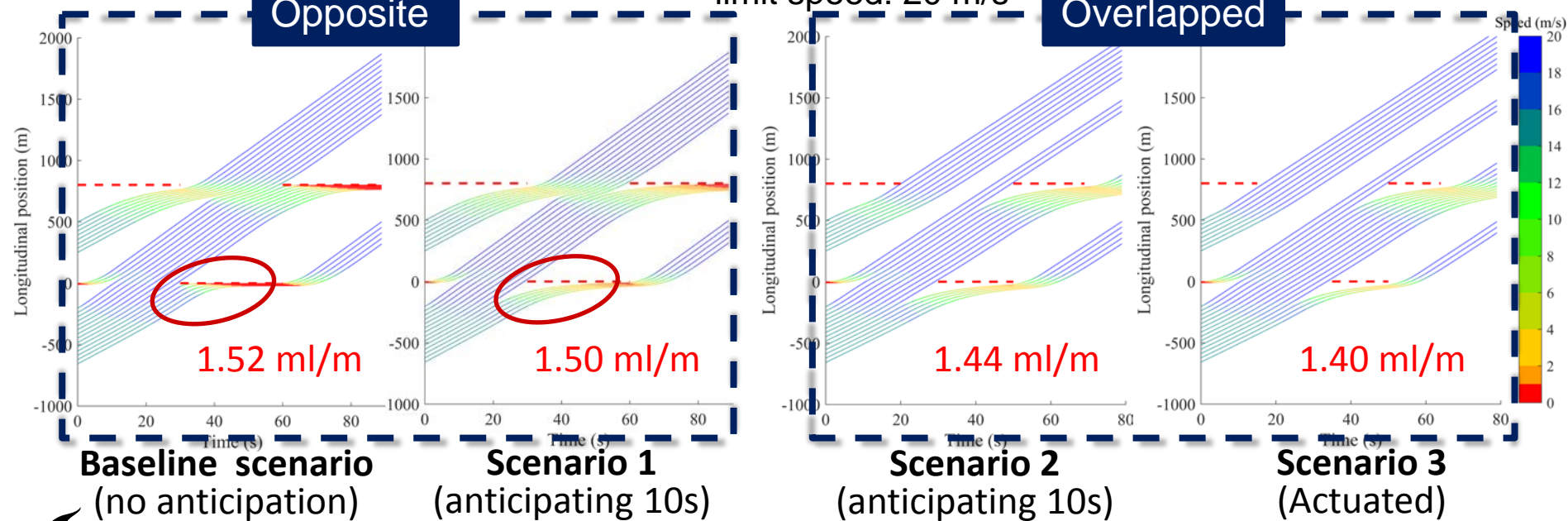
III. Adaptive (ongoing)

Simulation results

- green phase: 20 s, 30 s, red phase: 20 s, 30 s
- prediction horizon: 10 s, simulation time step: 1 s
- limit speed: 20 m/s

Opposite

Overlapped



I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Conclusions

- A receding horizon control framework is proposed at signalized intersection, aiming at optimizing throughput, driving comfort, travel delay, fuel consumption and safety.
- The red phase is represented by keeping the safe gap with a virtual vehicle standstill at the stop bar during the red duration with certain anticipation time.
- Simulation under four scenarios verified the performance of the approach.
 - The red phase term with anticipation works better;
 - The flexibility is demonstrated (i.e. changes in signal parameters under pre-timed and actuated signal plan)

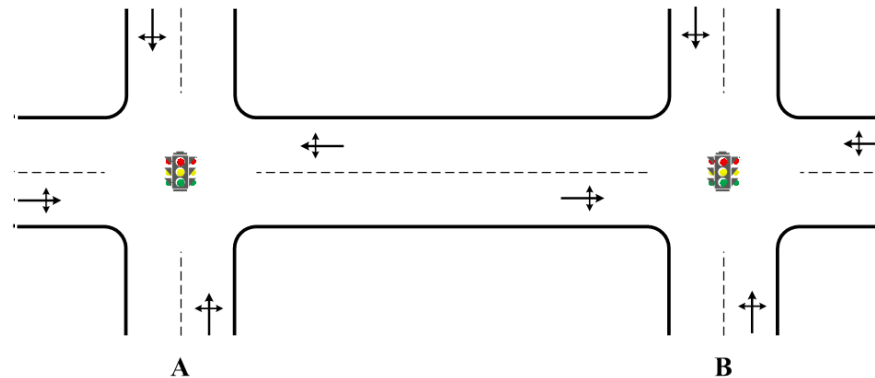
III. Platoon trajectory planning under **adaptive** traffic signal

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Control problem



- The integrated optimization of traffic signals and vehicle trajectories at full intersections
- Upper layer: optimize signal
- Lower layer: optimize acceleration → Phase II

I. Fixed-timing

II. Actuated signal

III. Adaptive (ongoing)

Simplification

Current/ Initial signal timing plan

Estimated trajectories

First-stopping vehicles

○ optimize acceleration in a linear relationship with time

Followers

○ apply car-following model

Update signal plan

- based on enumeration
- the length of green and red time
- signal phase sequence

First-stopping vehicles

○ optimize acceleration:

$$a_1(k_1, b_1) = k_1 t + b_1$$
$$a_2(k_2, b_2) = k_2 t + b_2$$

○ running cost:

$$L(\mathbf{x}, \mathbf{u}, t) = f_{\text{eco}}(u(k, b), v(k, b))$$

○ terminal cost: (at the end of red phase)

$$G(\mathbf{x}(t_f)) dt$$

to stimulate the first-stopping vehicle to reach the stop-line with the maximal speed; under the collision-free position constraints

○ ...

Thank you!

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