**Delft University of Technology**

**HUMAN RESEARCH ETHICS**

**RISK-PLANNING OUTCOMES  
(Version January 2022)**

To help you identify the kinds of risks and mitigating measures that your research goals or methods might expose your Human Research Subjects to, we strongly advise that you organise a [risk-planning session](https://www.tudelft.nl/en/about-tu-delft/strategy/integrity-policy/human-research-ethics) early on in your research planning. You can of course organise such a session with just you and your brain, but with a small diverse team, who have a range of expertise, and can role-play different stakeholders (including researchers and Research Subjects), you’ll be more likely to elicit the full range of possible risks and consider a wider range of mitigating measures.

The TU Delft [Risk-planning Tool: *Managing Risk in Human Research*](https://d2k0ddhflgrk1i.cloudfront.net/user_upload/6_RPT-Risk-planning%20tool.pdf), can help you to prepare and run this session, providing prompting questions on common sources of risk and the kinds of mitigation measures that can help to minimise them. You can share the tool digitally or print out for reference during your session.

You can use this template to capture your discussions and flag any additional expertise you might need to consult before you can finalise your research design. Once this is complete, developing your Informed Consent materials, and applying for Human Research approval will usually be pretty straight forward.

| **RISK FACTOR** | **POSSIBLE RISKS** | **MITIGATING STEPS** | **COMMUNICATE** | **PRACTICAL IMPLICATIONS** | **OUTSTANDING QUERIES** | **DEADLINE/ STATUS** |
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|  | *See the HREC risk-planning tool and Brief Guide to Human Research Ethics (*via *the Research Ethics website)* | *See the Brief Guide to Human Research Ethics (*via *the Research Ethics website)* | *Reflect on how this should best be communicated to your Research Subjects (normally via your Informed Consent materials).* | *Are the any possible practical problems arising from how you plan to manage the risk? And can these be realistically dealt with?* | *Are there any questions arising from your discussions that require additional expertise?* | *Deadline for outstanding queries and/ or status eg: “done”* |
| **A: Partners and collaboration** |  |  |  |  |  |  |
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| **B: Location** |  |  |  |  |  |  |
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| **C: Participants** |  |  |  |  |  |  |
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| **D: Recruiting Participants** |  |  |  |  |  |  |
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| **E: Subject Matter** |  |  |  |  |  |  |
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| **F: Research Methods** |  |  |  |  |  |  |
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| **G: Data Processing and Privacy** |  |  |  |  |  |  |
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**You can provide feedback on this form or any other HREC tools and guides** [**here**](https://tudelft.fra1.qualtrics.com/jfe/form/SV_5o4nkUXpGdonKOq)**.**