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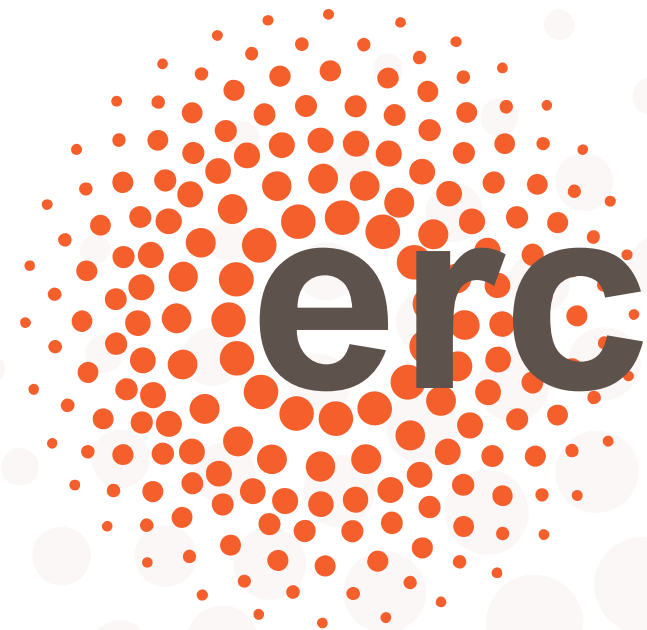
Ethics Review in SS&H

Procedures in Horizon 2020

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# Ethics in the EU - Framework



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Three aims:

- To respect legal obligations
- To respect ethical principles in research
- To help researchers think about and elaborate on the ethics aspects of their projects

# What is new in Ethics H2020



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- Legal base
  - *Art. 19 in H2020 – Regulation (EU) n°1291/2013*
  - *Art. 13 & 14 Rules for Participation - Regulation (EU) n°1290/2013*
- List of ethics issues
  - *ERC Rules for Submission in H2020 Decision C(2014)2454*
- Ethics procedure
  - *Ethics Appraisal (Ethics Review in ERCEA)*
- Ethics in submission system
  - *Integral part of the proposal (part A)*
- New templates
  - *EIT ethics issues table*

# Three inter-acting sources in Ethics H2020



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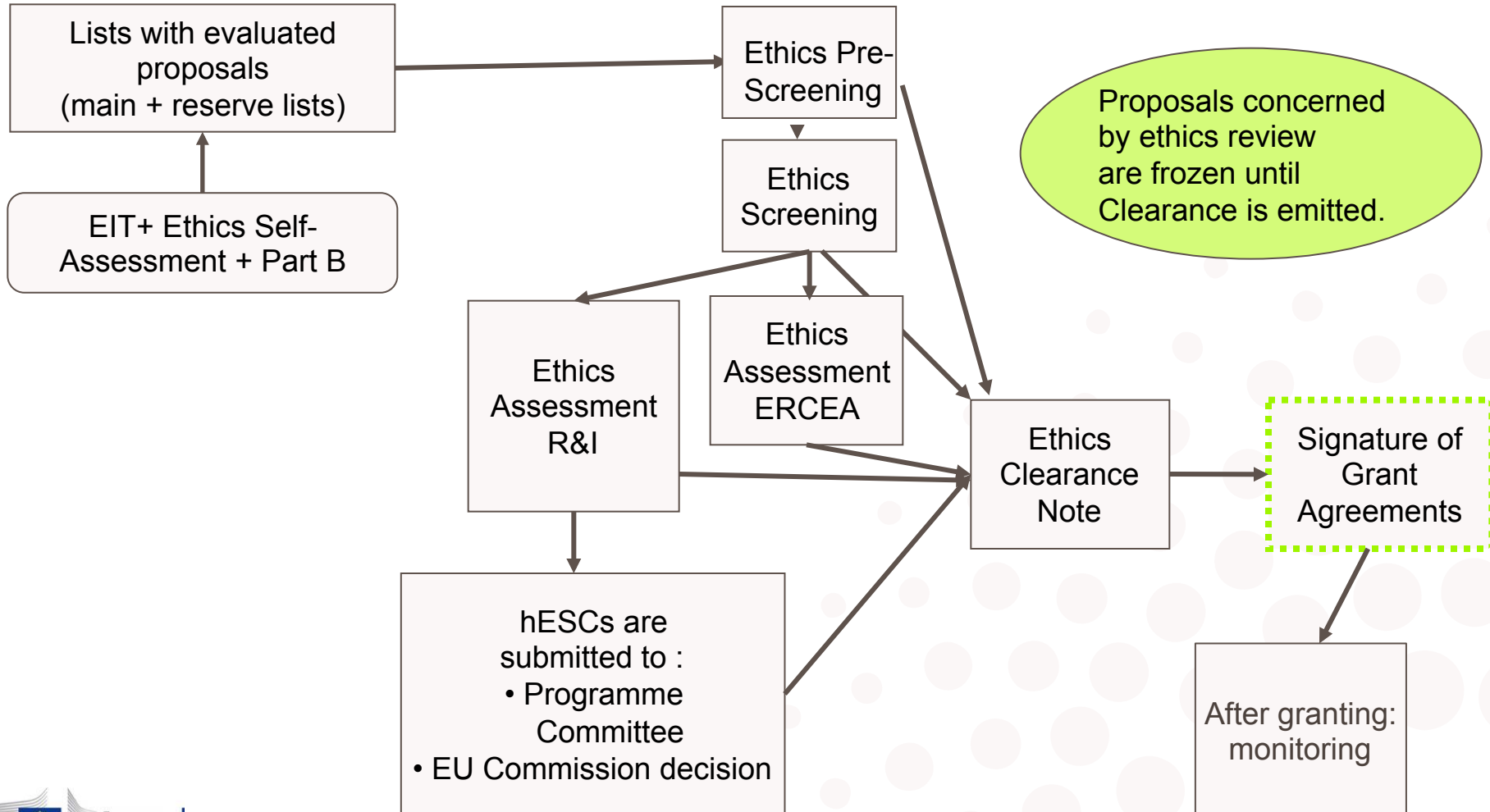
- establish applied agencies
  - Deal with the proposals and follow emerging trends in ethics
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# Ethics Review procedure in ERCEA



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# Three actors involved

The researcher

- flags the ethical issues which are relevant to the project
- elaborates the issues in the Ethical Assessment
- provides adequate authorizations



Ethics experts

- can flag issues identified by the researcher
- define elements:
  - do
  - elements on which the researcher has to provide more details



The Agency

- controls the involvement
- controls the documents submitted
- clears the projects



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issues

answers

# What is expected from the applicant? (Ethics Self-Assessment – PDF)

- **Describes** the potential **ethical issues** raised by the proposed action in relation to its objectives; the methodology and the possible implications of its results;
- **Explains** how the ethical requirements set out in the work programme will be fulfilled;
- Indicates how the proposal meets the **national legal** and **ethical requirements** of the EU and/or the country where the action is performed.

# Main ethics issues in Social Sciences

## Data protection and anonymisation

### Data protection; type of data; methodology

- *Personal data*
- *Big data*
- *Data merging*
- *Public and freely useable data*

### Anonymisation

- *Anonymisation*
- *Pseudonymisation*
- *Codification*



# Main ethics issues in Social Sciences

## Informed consent

### Oral and written consent

- *Illiterate individuals*
- *Oral tradition countries*
- *Risks of written consent*

### Children involved in research

- *Information sheet and assent*
- *Reconsenting when turning adults*

### Vulnerable populations

- *Free will of university students as research subjects*
- *Financially vulnerable populations and use of incentives*

# Main ethics issues in Social Sciences

## Misuse of results

### Aim of the research

- *Possible distortion of the facts by the methodology used*

### Discrimination and stigmatisation

- *During research and/or dissemination of results*

### Misinterpretation of results

- *Preventing wrong political use of results*

# Main ethics issues in Social Sciences

## Unexpected findings

### Unexpected findings

- *Legality and morals in research*
- *What to report to police or justice*
- *Unexpected findings plan*

# Main ethics issues in Social Sciences

## Inter-disciplinarity of research in SS&H

### Use of life sciences technologies

- *Brain neuroimaging*
- *Genetic analysis*

### With engineering

- *Data merging methodologies*

### Administrative issues

- *Double ethics authorization*
- *Subcontracting and responsibility*

# Main ethics issues in Social Sciences

## Research in low & lower middle income countries

**Involving the communities where research takes place**

- *Benefit sharing*
- *Absence of local ethics structures*

**War, epidemics and political pressure**

- *Safety of participants*
- *Safety of researchers*

**Use of local resources**

- *Use of local materials or human resources*
- *Compensation policy*



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# The end

Thank you for your attention