

# Thematic workshop on Thermodynamic Modeling

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# Outline

- About Me
- Introduction/Scope
- Thermodynamic Properties and Equations of State
- Building an EOS
- Flash Routines and Phase Equilibria: Pure Fluids
- Transport Properties
- Mixtures

# About Me

- Doctoral Research at Purdue University
  - Flooded compression in scroll compressors
    - Oil absorbs the heat of compression of the refrigerant
    - Increase cycle efficiency with IHX and oil flooding
  - Experimental campaigns
  
- Dissertation
  - *Theoretical and experimental analysis of liquid flooded compression in scroll compressors*

- Postdoc, 2012-2014, *University of Liège, Liège, Belgium*
  - Simulation of scroll compressors
  - Development of open-source thermophysical property library CoolProp
- Postdoc, 2015-present, *National Institute of Standards and Technology, Boulder, Colorado*
  - Development of mixture models, equations of state, etc.
  - Critical point calculation routines
  - Psychrometric properties from mixture models

# Introduction

- *Today:* compressible/real pure fluids and mixtures
- *Not:* humid air, incompressible fluids (brines and secondary working fluids)
- **Today's goal:** Crack open the black boxes of thermophysical property libraries (REFPROP, CoolProp, TREND)

# What properties do we care about?

For preliminary cycle design:

- Temperature:  $T$
- Pressure:  $p$
- Density:  $\rho$
- Specific enthalpy:  $h$
- Specific entropy:  $s$
- Specific heat capacity:  $c_p, c_v$

For component design:

- Thermal conductivity:  $\lambda$
- Viscosity:  $\eta$



# **Thermodynamic Properties and Equations of State**

## ***p-ρ-T*** properties

- We can measure pressure, temperature, and density (and other things like speed-of-sound)
- Q: How do we describe the relationship between these properties?
- A: An equation of state

## Equation of state

- Expresses relationship between thermodynamic properties
- Wikipedia has nice treatment
- Active field of research in last century+, will not cover whole field here
- But highest accuracy formulations (discussed here), are much more complex

- Starting at the beginning, the ideal gas law:

$$p = \rho RT$$

- All units are molar-specific base-SI:
  - $[p] = \text{Pa}$
  - $[\rho] = \text{mol/m}^3$
  - $[R] = 8.314462618... \text{ J}/(\text{mol K})$  (exact)
  - $[T] = \text{K}$

## Ideal-Gas Law Limitations

- Low pressure gases only
- Doesn't work well near saturation
- Not so great for polar fluids either
- Doesn't give you entropy/enthalpy directly
- But in some cases, it's good enough, or it serves as a good guess

## Cubic Equations of State

- van der Waals (1873):

$$p = \frac{RT}{v - b} - \frac{a}{v^2}$$

- SRK (1972):

$$p = \frac{RT}{v - b} - \frac{a}{v(v + b)}$$

- Peng Robinson (1976):

$$p = \frac{RT}{v - b} - \frac{a}{v^2 + 2bv - b^2}$$

- Can be expressed in a common form (Michelsen):

$$p = \frac{RT}{v - b} - \frac{a}{(v + \Delta_1 b)(v + \Delta_2 b)}$$

- Can be converted to Helmholtz energy  $\alpha^r$  according to the method of Bell and Jäger (J. Res. NIST, 2016)

## Cubic Equations of State:

- Valid over entire surface (liquid, vapor, supercritical)
- Accuracy for VLE is adequate
- Simple to understand and implement
- Even now quite popular in industry (with some modifications)
- If  $T, p$  are known, explicit solution for  $v$  (actually  $Z = \frac{pv}{RT}$ ) possible:

$$AZ^3 + BZ^2 + CZ + D = 0$$

- Number of roots can be as many as 3 (for instance in VLE)

## Limitations

- Accuracy for liquid density is quite poor (can be off by 30% - volume translation can help)



## Multiparameter EOS

- Nowadays, we have developed more accurate formulations for pure fluids
- They are explicit in Helmholtz energy (yet another mysterious thermodynamic property) as a function of volume and temperature
- Split into two parts:
  - $a = a^r + a^0$
  - $\alpha = \frac{a}{RT} = \alpha^r + \alpha^0$

## Features

- Highest accuracy for VLE and single-phase state
- Valid over entire surface (liquid, vapor, supercritical)

## Limitations

- Complex to implement → slow(er)
- No explicit solution for density given  $T, p$  as input variables
- Flexibility of form yields some "crazy" behavior if you are not careful

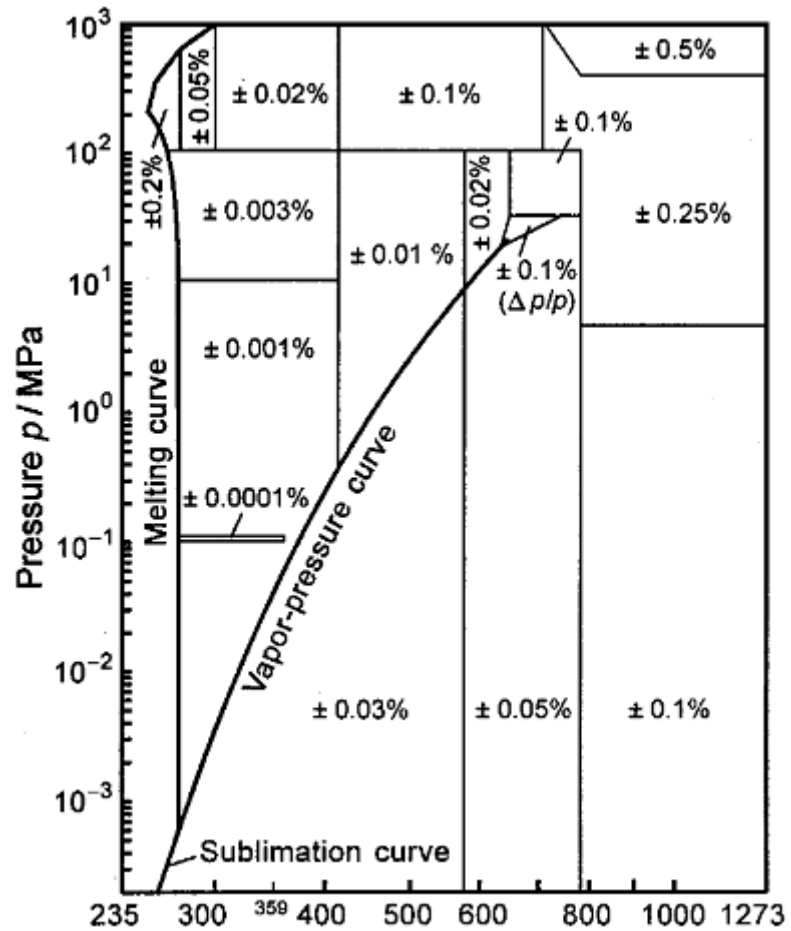
## Thermodynamic potentials (why $A$ ?)

- There are four primary fundamental thermodynamic potentials (though others exist)
  - $s, v \rightarrow u$
  - $s, p \rightarrow h$
  - $v, T \rightarrow a$
  - $p, T \rightarrow g$

- Thermodynamic potential allows ANY other thermodynamic property to be obtained by derivatives of the potential with respect to independent variables
- Cannot measure entropy, though, so  $a$  or  $g$  are the choices for potential
- Gibbs energy derivative discontinuous in  $T, p$  at phase transitions, also not good
- Helmholtz energy it is!

## Differing levels of precision

- Reference
  - Exceptionally high accuracy EOS based on very accurate experiments
  - E.g. Argon, Nitrogen, CO<sub>2</sub>, Water, Methane, Ethylene
- Industrial
  - Many fluids, using functional forms proven to work well
  - Some generalized formulations, with only the coefficients being fitted



Uncertainty of water density  $\Delta\rho$  (Wagner & Pruss, JPCRD, 2001)

## Ideal-gas part

- Total Helmholtz is energy given by:  $a = u - Ts$  on a specific basis
- Or non-dimensionalized:  $\frac{a}{RT} = \alpha = \frac{u}{RT} - \frac{s}{R}$
- But we know that  $u = h - pv$ , and for an ideal gas  $u = h - RT$  because  $pv = RT$ , therefore

$$\alpha^0 = \frac{h^0}{RT} - 1 - \frac{s^0}{R}$$

## Residual part

- Entirely empirical, not governed by theory
- For instance, for propane:

$$\alpha^r = \sum_{k=1}^5 N_k \delta^{d_k} \tau^{t_k} + \sum_{k=6}^{11} N_k \delta^{d_k} \tau^{t_k} \exp(-\delta^{l_k}) \\ + \sum_{k=12}^{18} N_k \delta^{d_k} \tau^{t_k} \exp(-\eta_k (\delta - \varepsilon_k)^2 - \beta_k (\tau - \gamma_k)^2)$$

- Here we use reduced variables  $\delta = \rho/\rho_c$  and  $\tau = T_c/T$
- Water and CO<sub>2</sub> have complicated non-analytic terms that have fallen out of favor



## Useful relationships:

$$p = \rho RT \left[ 1 + \delta \left( \frac{\partial \alpha^r}{\partial \delta} \right)_\tau \right]$$
$$\frac{h}{RT} = \tau \left[ \left( \frac{\partial \alpha^0}{\partial \tau} \right)_\delta + \left( \frac{\partial \alpha^r}{\partial \tau} \right)_\delta \right] + \delta \left( \frac{\partial \alpha^r}{\partial \delta} \right)_\tau + 1$$
$$\frac{s}{R} = \tau \left[ \left( \frac{\partial \alpha^0}{\partial \tau} \right)_\delta + \left( \frac{\partial \alpha^r}{\partial \tau} \right)_\delta \right] - \alpha^0 - \alpha^r$$

- And so on...
- Valid for pure fluids or multi-fluid mixture model (see later)
- "Flash" call can be computationally very expensive; we will revisit this point

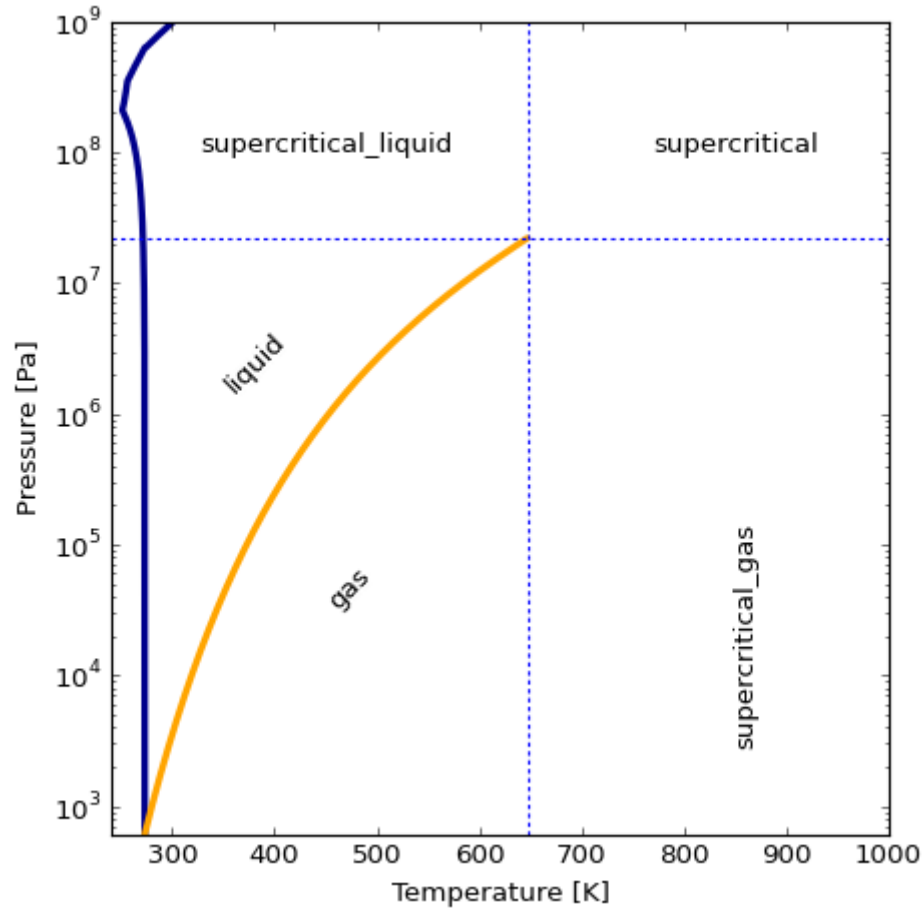
# Flash Routines and Phase Equilibria: Pure Fluids

## What is a flash calculation?

- The EOS has as independent variables  $T$  (as  $\tau$ ) and  $\rho$  (as  $\delta$ )
- But often you know other thermodynamic variables:
  - $p$  and  $h$
  - $p$  and  $T$
  - ...
- Must iterate to find  $T$  and  $\rho$  (flash)
- Phase equilibria also possible inputs
  - $T$  and vapor quality
  - $p$  and vapor quality
- Sometimes, inputs can yield multiple solutions ( $T, u$  or  $T, h$ )

# PT flash

- $\rho = f(T, p)$
- Simplest flash calculation, but not simple !



PT for water

- Q1: Where am I?
- Q2: Given my location, what information do I know?
  - gas/supercritical: ideal-gas/SRK ok as first guess for  $\rho$  (explicit solution)
  - liquid: density is greater than saturated liquid density (for  $p < p_c$ )

- Algorithm

- Given the guess density, drive the residual function  $F(\rho)$  to zero

$$F(\rho) = p(T, \rho) - p_{\text{given}}$$

- Here it is a one-dimensional function of  $\rho$ , and we know the analytic derivatives

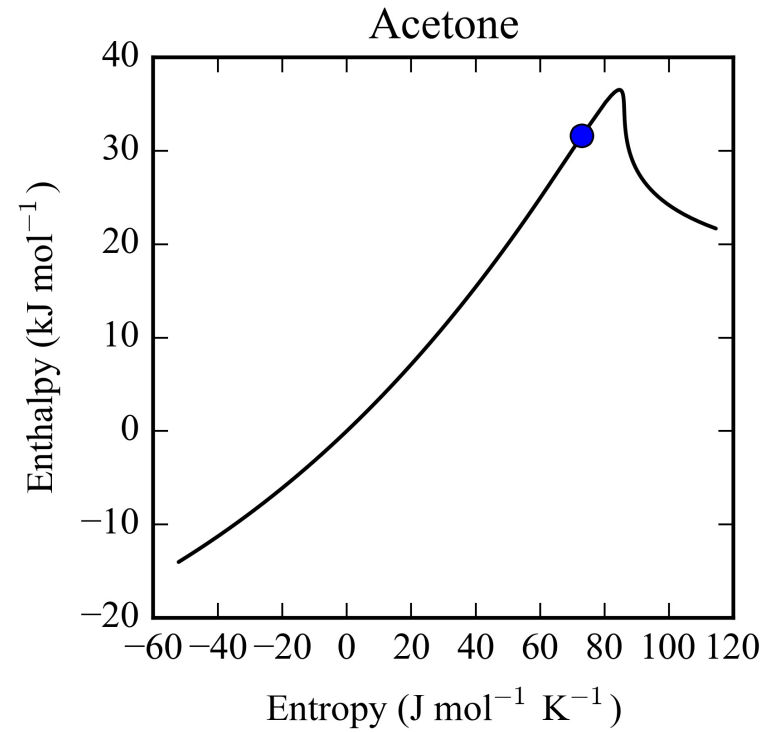
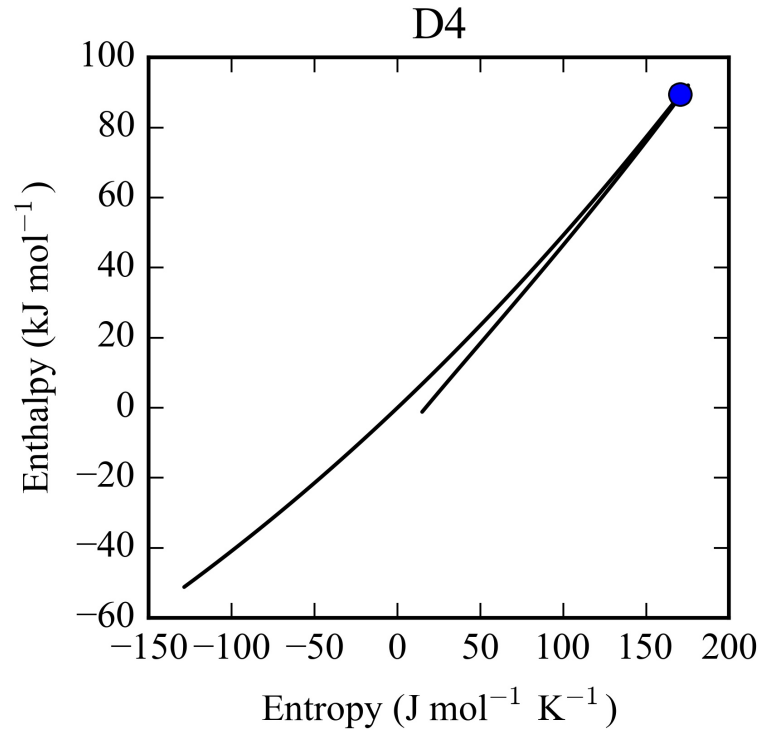
$$\left(\frac{\partial p}{\partial \rho}\right)_T \text{ and } \left(\frac{\partial^2 p}{\partial \rho^2}\right)_T$$

- Use Secant or Halley's method for  $F(\rho) \rightarrow 0$
- Also possible to use Brent's method with quadratic updates if you have bounds, correct solution with Secant/Halley not guaranteed

# Phase Equilibria (Pure Fluid)

- At vapor-liquid equilibrium:
  - Vapor and liquid phases at same  $T, p$  (thermal equilibrium)
  - Gibbs energy the same for both phases (chemical equilibrium)
    - Rate(!) of material transfer is balanced
- Metastability not considered

# HS flash





# Mixtures

- **Why mixtures?**
  - Environmental concerns (ODP, GWP, flammability, etc.)
  - Much more complex to model
  - New "interesting" things to worry about (composition, phase stability, critical points)
  - Many blends form "boring" mixtures that behave like pure fluids

## Mixture modeling

- GERG formulation for  $\alpha^r$

$$\alpha^r = \alpha_{LM}^r + \alpha_A^r$$

$$\alpha_{LM}^r(\delta, \tau, \mathbf{x}) = \sum_{i=1}^N x_i \alpha_{oi}^r(\delta, \tau)$$

$$\alpha_A^r(\delta, \tau, \mathbf{x}) = \sum_{i=1}^{N-1} \sum_{j=i+1}^N x_i x_j F_{ij} \alpha_{ij}^r(\delta, \tau)$$

## Reducing functions

- $\tau$  and  $\delta$

$$= T_r = \rho$$

$$(\mathbf{x}) / \rho_r$$

$$/T (\mathbf{x})$$

$$T_r(\mathbf{x}) = \sum_{i=1}^N x_i^2 T_{c,i} + \sum_{i=1}^{N-1} \sum_{j=i+1}^N 2\beta_{T,ij} \gamma_{T,ij} \frac{x_i x_j (x_i + x_j)}{\beta_{T,ij}^2 x_i + x_j} (T_{c,i} T_{c,j})^{0.5}$$

$$\frac{1}{\rho_r(\mathbf{x})} = \sum_{i=1}^N x_i^2 \frac{1}{\rho_{c,i}}$$

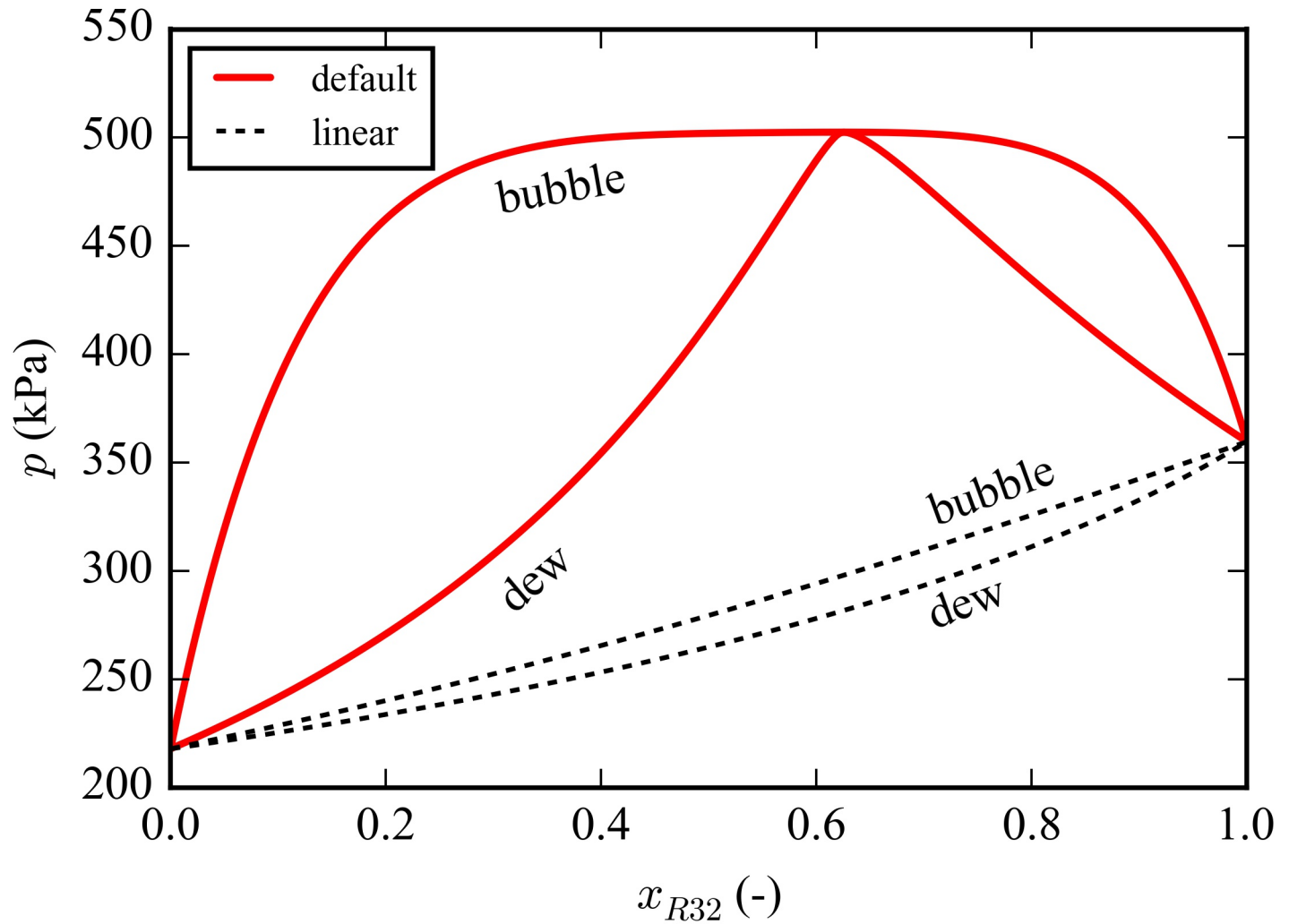
$$+ \sum_{i=1}^{N-1} \sum_{j=i+1}^N \beta_{v,ij} \gamma_{v,ij} \frac{x_i x_j (x_i + x_j)}{\beta_{v,ij}^2 x_i + x_j} \frac{1}{4} \left( \frac{1}{\rho_{c,i}^{1/3}} + \frac{1}{\rho_{c,j}^{1/3}} \right)^3$$

- Adjustable parameters:  $\beta_{T,ij}, \gamma_{T,ij}, \beta_{v,ij}, \gamma_{v,ij}$  for  $ij$  pair
- Parameters are entirely empirical

## Reducing functions

- Binary interaction parameter selection
- What  $\beta, \gamma$  should I use?
  1. Fitted parameters from literature
  2. Estimation schemes (**WARNING!!**)
  3. Simple mixing rules (linear, Lorentz-Berthelot) (**WARNING!!**)

# Simple mixing rules



R32-Propane  $p$ - $x$  plot at 250 K

## Fit your own parameters!

- Work developed at NIST
- Interaction parameters fit for more than 1000 mixtures
- Fully-automatic fitting of parameters  $\beta_{T,ij}$  and  $\gamma_{T,ij}$
- Open-source formulation using python and DEAP, powered by REFPROP
- For source code, email [ian.bell@nist.gov](mailto:ian.bell@nist.gov)

# Vapor-liquid equilibria

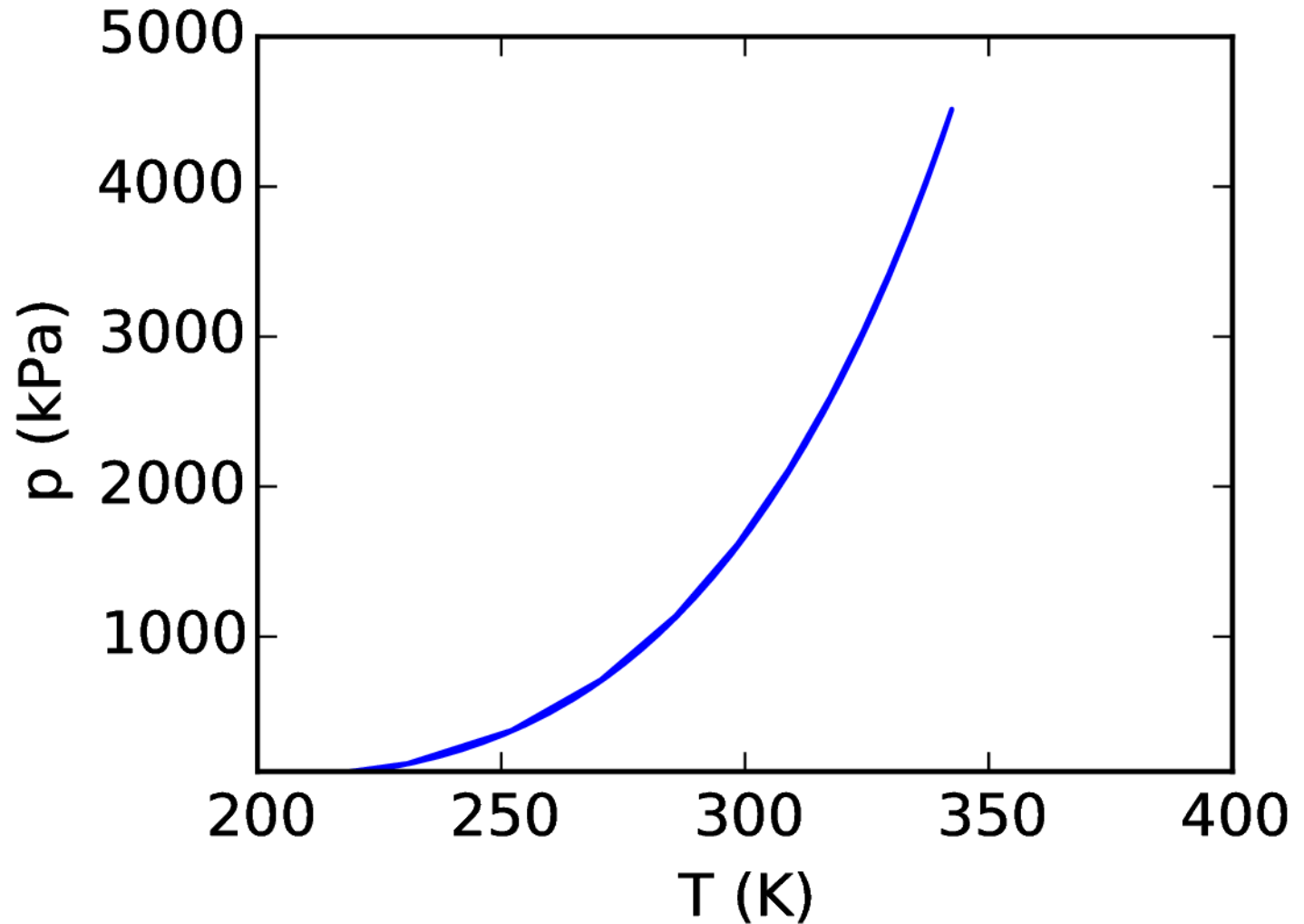
- Complex and quite challenging !!
- Equate fugacities and moles of each component
- Obtaining initial guess for composition particularly challenging
- Common types of calculations:
  - PQ:  $p, \mathbf{x}$  at saturation  $\rightarrow T, \mathbf{y}$
  - PQ:  $p, \mathbf{y}$  at saturation  $\rightarrow T, \mathbf{x}$
  - TQ:  $T, \mathbf{x}$  at saturation  $\rightarrow p, \mathbf{y}$
  - TQ:  $T, \mathbf{y}$  at saturation  $\rightarrow p, \mathbf{x}$
  - PT:  $T, p \rightarrow \mathbf{x}, \mathbf{y}$
- Often, we "solve" these problems by constructing phase envelopes and interpolating



## Phase envelope

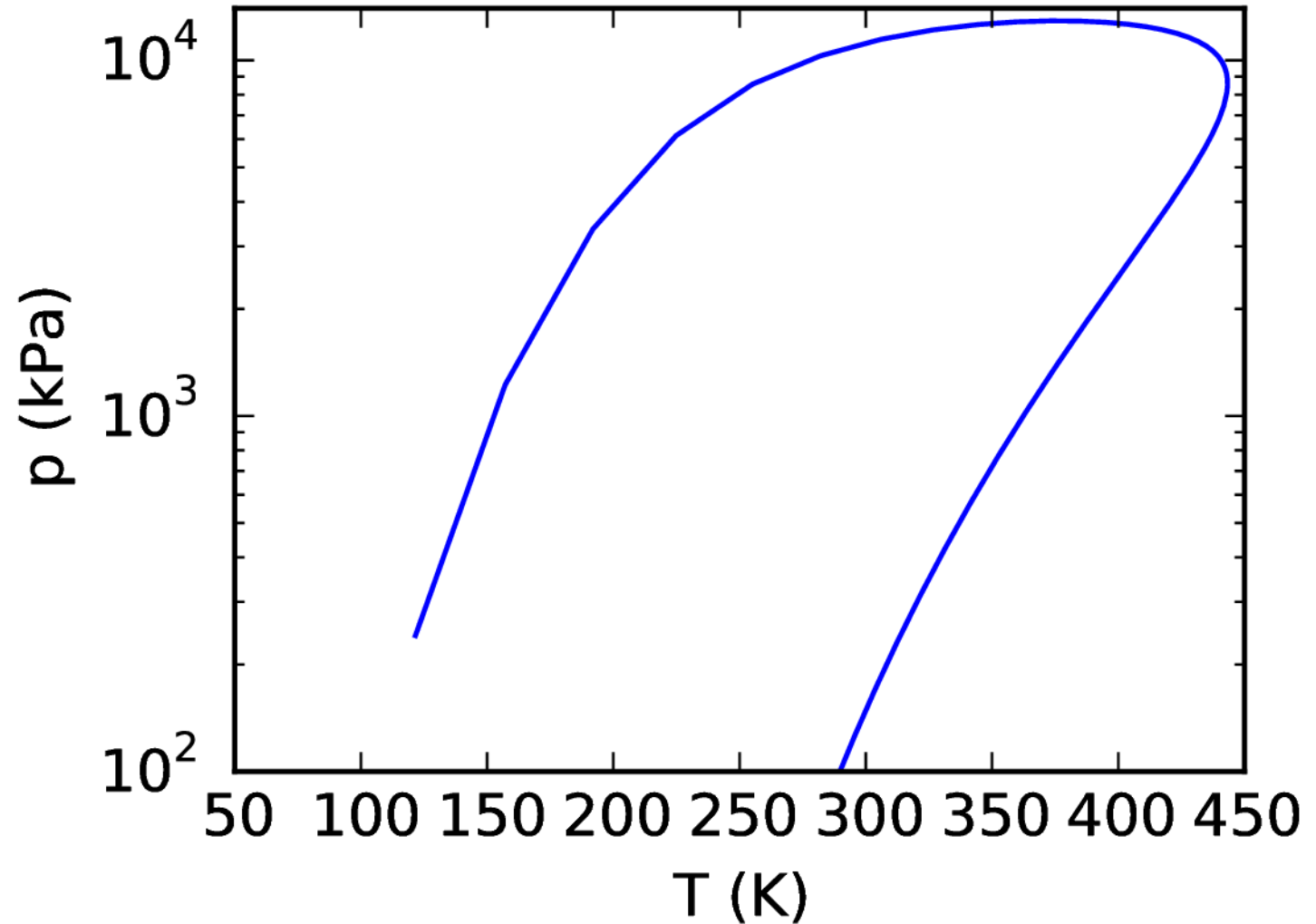
- What is a phase envelope?
  - The "vapor pressure" curve for a mixture
- For a "mixture" that is actually a pure fluid, it is a single line

# Closed phase envelope of near-azeotropic mixture



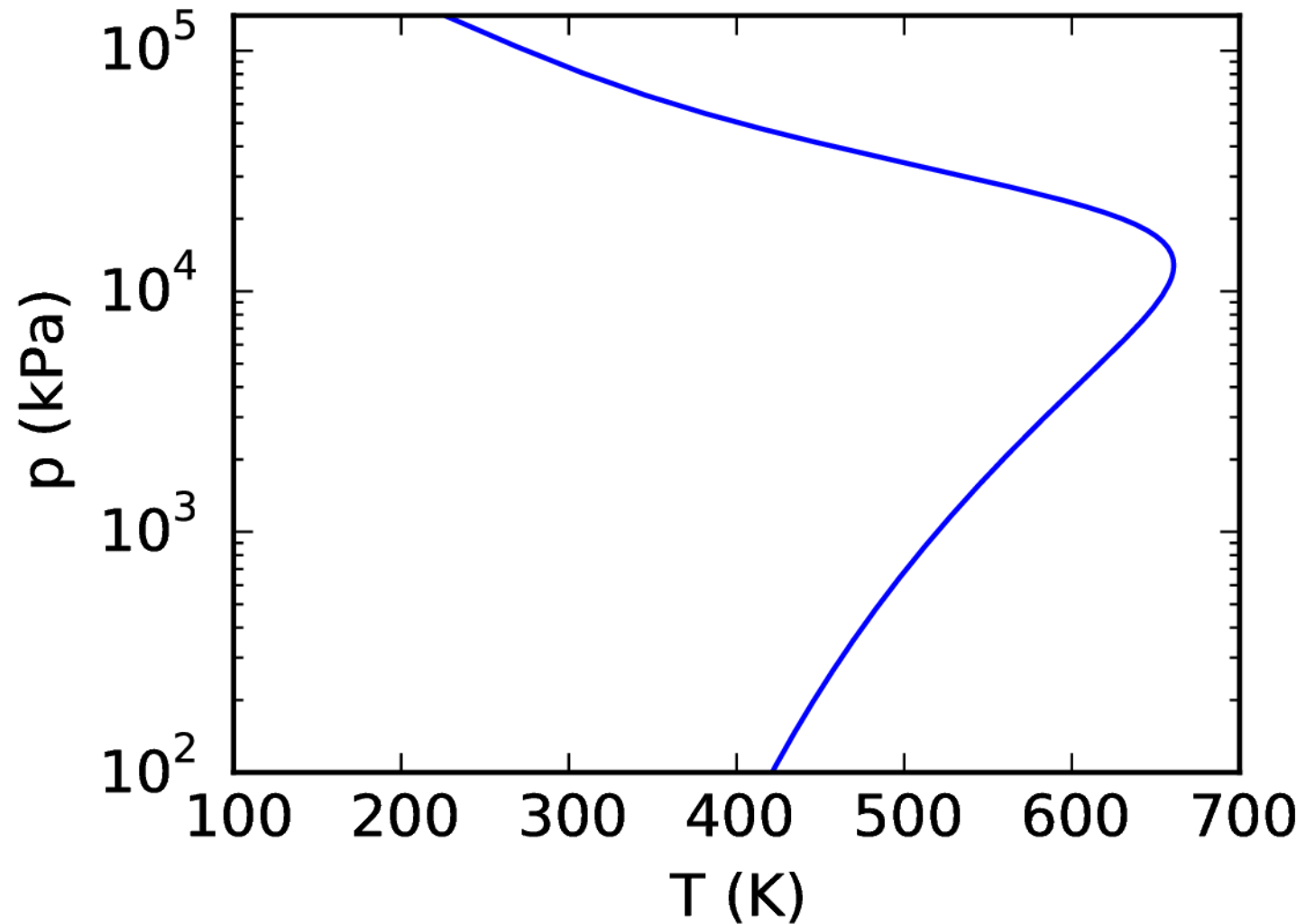
50/50 molar R32-R125 phase envelope

# Closed phase envelope of large-temperature-glide mixture



50/50 molar pentane-methane phase envelope

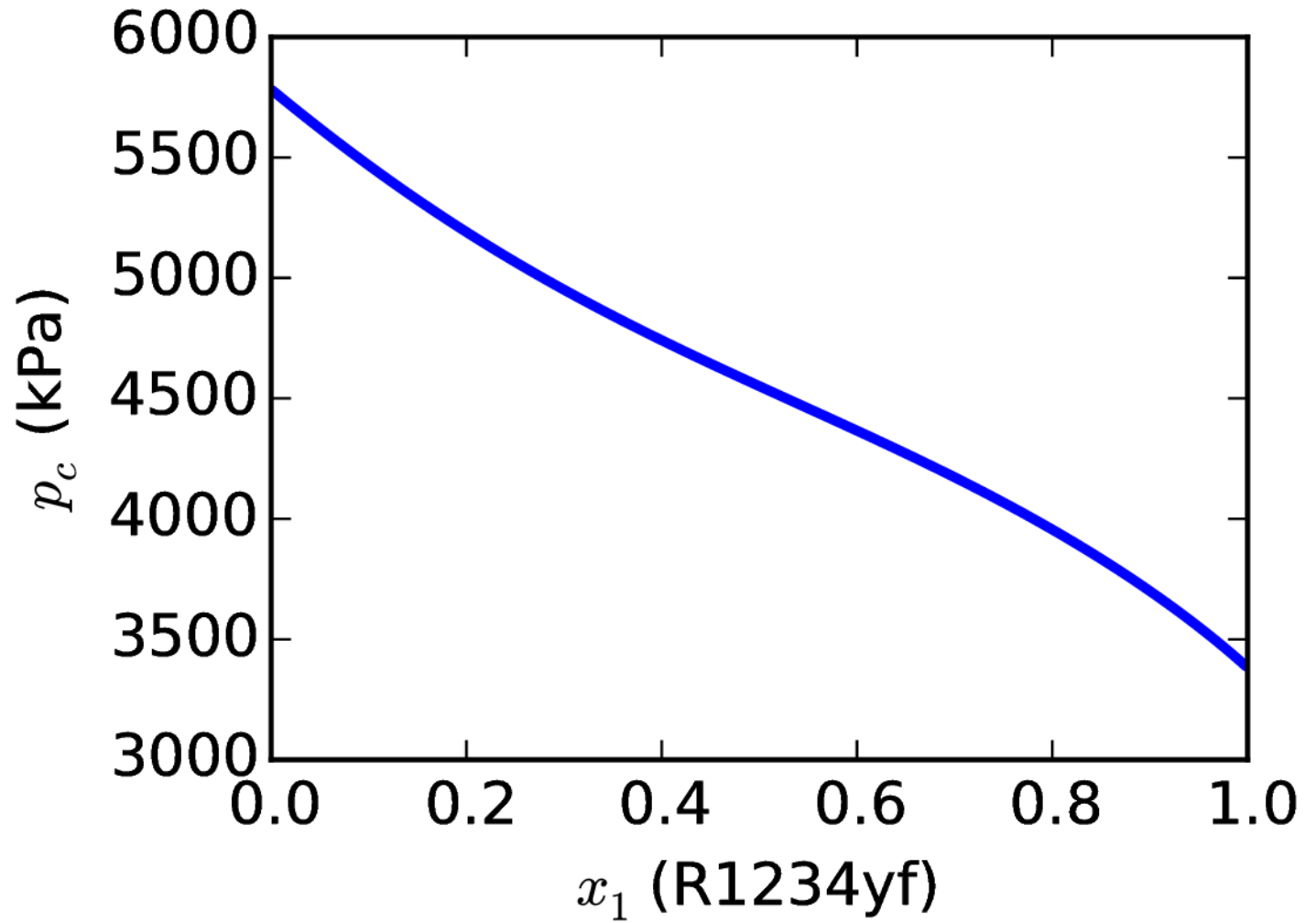
# Open phase envelope



50/50 molar nitrogen-decane phase envelope

# Critical lines

- Pure fluid: one critical point
- Mixture: critical lines



Critical pressure for R-1234yf + R-32 mixture

# **Thermophysical Property Libraries**

Quite a lot of options, depending on your needs:

- REFPROP (NIST)
- CoolProp
- TREND (Bochum, Dresden)
- ASPEN
- PRODE
- ...



# State-of-the-art libraries

## REFPROP

- *The* reference thermophysical property library
- Development currently proceeds at a slow pace → STABLE
- Industry standard!

# State-of-the-art libraries

## CoolProp

- +: Open-source, free for all uses
- +: Most user-friendly interface (similar interface available in REFPROP)
- +: Tabular interpolation, incompressible fluids, brines, psychrometric properties
- -: Mixture flash routines not competitive with REFPROP

# State-of-the-art libraries

## TREND

- +: Good support on complex phase equilibria (hydrate and solid phases)
- -: Not very fast

# Interfacing with REFPROP

## Calling REFPROP

- Call REFPROP directly
  - Fastest option (especially in FORTRAN)
  - Interfacing with DLL
    - Things to worry about because of FORTRAN (name mangling, strings, etc.)
    - Verbose for simple problems
    - Inconsistent set of units

# Calling REFPROP

- Call through CoolProp
  - Easy-to-use interface for REFPROP consistent with interface of CoolProp
  - Consistent base-SI units
  - Very easy-to-use wrappers for comprehensive range of target environments (C++, python, MATLAB, Excel, Java, ...) that are both simple to understand and introduce little computational overhead.
  - Input arguments described:  
<http://www.coolprop.org/coolprop/HighLevelAPI.html#table-of-string-inputs-to-propssi-function>  
(<http://www.coolprop.org/coolprop/HighLevelAPI.html#table-of-string-inputs-to-propssi-function>).

# Calling REFPROP

- Call through ctypes-based interface for Python
  - <https://github.com/usnistgov/REFPROP-wrappers>  
(<https://github.com/usnistgov/REFPROP-wrappers>).
  - Developed by me
  - Also allows calling from MATLAB, Julia, etc.

- Order of operations
  - SETUPd11 or SETMIXd11
  - TPFLSHd11 (or others) to get  $T, \rho$
- Or use the new REFPROPd11 function



## The first calculation - NBP of water

```
In [17]: import CoolProp.CoolProp as CP
         from CoolProp.CoolProp import PropsSI
         PropsSI('T', 'P', 101325, 'Q', 0, 'REFPROP::Water')
```

```
Out[17]: 373.1242958476953
```

Remember: all units are base-SI

# Excercise

- Calculate the vapor pressure curve of R125 from the triple-point to critical point

In [4]:

```
### To be filled in live ###  
import CoolProp.CoolProp as CP  
import numpy as np  
  
Tt = CP.PropsSI('T_triple', 'REFPROP::R125')  
Tc = CP.PropsSI('Tcrit', 'REFPROP::R125')  
Ts = np.linspace(Tt, Tc-0.001, 4)  
ps = CP.PropsSI('P', 'T', Ts, 'Q', 0, 'REFPROP::R125')  
print(ps)
```

```
[2.91404173e+03 1.17183940e+05 9.21353723e+05 3.61784354e+06]
```

## Single-phase Derivatives

$$\left(\frac{\partial A}{\partial B}\right)_C = \frac{\left(\frac{\partial A}{\partial \tau}\right)_\delta \left(\frac{\partial C}{\partial \delta}\right)_\tau - \left(\frac{\partial A}{\partial \delta}\right)_\tau \left(\frac{\partial C}{\partial \tau}\right)_\delta}{\left(\frac{\partial B}{\partial \tau}\right)_\delta \left(\frac{\partial C}{\partial \delta}\right)_\tau - \left(\frac{\partial B}{\partial \delta}\right)_\tau \left(\frac{\partial C}{\partial \tau}\right)_\delta} = \frac{N}{D}$$

```
In [11]: ### To be filled in live c_p = ??; key for c_p is C, d(Hmass)/d(T)|P ###  
print(CP.PropsSI('C', 'T', 298, 'P', 101235, 'Water'))  
print(CP.PropsSI('d(Hmass)/d(T)|P', 'T', 298, 'P', 101235, 'Water'))
```

4181.377468575649

4181.377468575648

## **Mixtures: predefined, pseudo-pure**

NBP of liquid R410A

- Mixtures can be modeled:
  - As a pure fluid (for R410A, R404A, SES36, etc.) - limited number, only single-phase
  - As a mixture with predefined composition - using mixture model but composition imposed
  - As a mixture with user-specified composition

```
In [12]: from CoolProp.CoolProp import PropsSI

# Treating the mixture as a pure fluid
print(PropsSI('T','P',101325,'Q',0,'REFPROP::R410A'))

# Using the mixture model with the "fixed" composition of R410A
print(PropsSI('T','P',101325,'Q',0,'REFPROP::R410A.mix'))

# Using the mixture model with the molar composition specified
print(PropsSI('T','P',101325,'Q',0,'REFPROP::R32[0.69761]&R125[0.30239]'))
```

```
221.7081279166191
221.70710547048648
221.70711662650234
```

# REFPROP through CoolProp (low-level)

## Why low-level interface ?

- Closer to the compiled code
- Less overhead (integer-values only)
- More caching of values, reduce duplication of flash routines

In [13]:

```
# Preparation and imports
from __future__ import print_function
import CoolProp, CoolProp.CoolProp as CP, numpy as np
import matplotlib.pyplot as plt

# Print some information about the versions in use
print(CoolProp.__version__, CoolProp.__gitrevision__)
print(CP.get_global_param_string("REFPROP_version"))
```

```
6.4.0 fcc01ad6b9739346273713b59af306d5cd9b7d24
10.0
```



```
In [14]: AS = CP.AbstractState('REFPROP','Water') # Single SETUPdll call!  
AS.update(CP.DmasT_INPUTS, 1, 1000)  
print(AS.p(), 'Pa')
```

460975.82625354873 Pa

```
In [15]: %timeit AS.update(CP.DmasT_INPUTS, 1, 1000)  
%timeit AS.update(CP.PT_INPUTS, 101325, 300)  
%timeit AS.update(CP.PT_INPUTS, 101325, 1000)
```

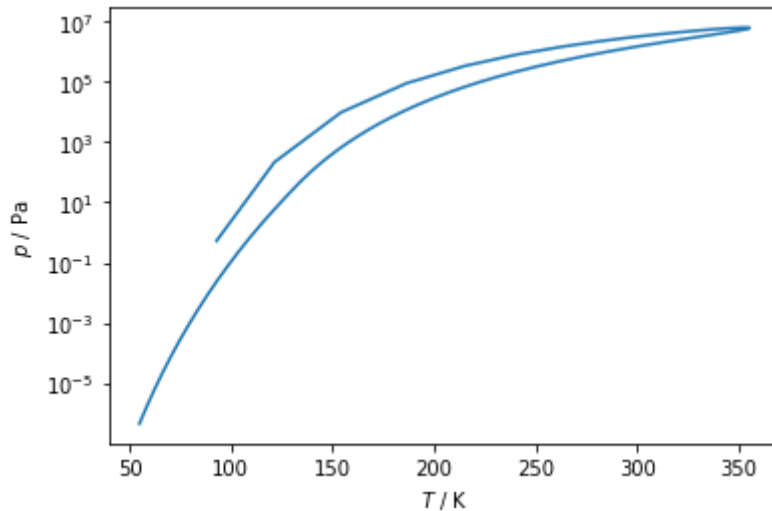
1.99  $\mu\text{s}$   $\pm$  260 ns per loop (mean  $\pm$  std. dev. of 7 runs, 100000 loops each)

5.73  $\mu\text{s}$   $\pm$  238 ns per loop (mean  $\pm$  std. dev. of 7 runs, 100000 loops each)

5.41  $\mu\text{s}$   $\pm$  377 ns per loop (mean  $\pm$  std. dev. of 7 runs, 100000 loops each)

# Phase envelope

```
In [18]: AS = CP.AbstractState('REFPROP', 'CO2&Propane')
AS.set_mole_fractions([0.3,0.7])
AS.build_phase_envelope("")
PE = AS.get_phase_envelope_data()
plt.yscale('log')
plt.gca().set(xlabel='T / K', ylabel='p / Pa')
plt.plot(PE.T, PE.p);
```



# Computational Speed Considerations

My code is too slow!!

## Classical approaches

- Use the right independent variables
  - Reformulate problem in terms of density and temperature
  - Will in almost all cases be the fastest inputs
  - See for instance my dissertation, conversion from UM to DT in PDSim
- Simpler EOS
  - Use cubic EOS in place of Helmholtz-energy-based EOS
  - Much faster to evaluate

## Classical approaches

- Skip phase evaluation
  - If inputs are known to be gas, don't check whether liquid/gas/supercritical
  - REFPROP: use PHFL1 instead of PHFLSH, etc.
  - CoolProp: call *specify\_phase*
- Provide initial guess values (for  $\rho$ ,  $\mathbf{x}$ ,  $\mathbf{y}$ , etc.)
  - REFPROP
    - Some specialized flash routines like SATTP that use guesses, see also TPRHO
    - Many wrappers around REFPROP do not expose these specialized functions
  - CoolProp: call *update\_with\_guesses*

## Classical approaches

- Ensure that you are limiting the number of setup calls
  - REFPROP: Don't call SETUPd11 very often, put multiple components in a mixture and set mole fractions
  - CoolProp: Construct an instance of AbstractState, and then use it
- Minimize calling overhead
  - Use vectorized functions when possible

# Bicubic interpolation

In [20]:

```
import CoolProp
z = [0.5, 0.5]
BICU = CoolProp.AbstractState('BICUBIC&HEOS',
                              'R32&R125')

BICU.set_mole_fractions(z)
%timeit BICU.update(CoolProp.PQ_INPUTS, 101325, 0)

RP = CoolProp.AbstractState('HEOS', 'R32&R125')
RP.set_mole_fractions(z)
%timeit RP.update(CoolProp.PQ_INPUTS, 101325, 0)
```

1.09  $\mu\text{s}$   $\pm$  24.9 ns per loop (mean  $\pm$  std. dev. of 7 runs, 1000000 loops each)

589  $\mu\text{s}$   $\pm$  14.5  $\mu\text{s}$  per loop (mean  $\pm$  std. dev. of 7 runs, 1000 loops each)



**Thank You for your attention!**

**Questions?**